

Shropshire Council
Legal and Democratic Services
Shirehall
Abbey Foregate
Shrewsbury
SY2 6ND

Date: Tuesday, 14 February
2017

My Ref:

Your Ref:

Committee:
Audit Committee

Date: Wednesday, 22 February 2017
Time: 9.30 am
Venue: Shrewsbury Room, Shirehall, Abbey Foregate, Shrewsbury,
Shropshire, SY2 6ND

You are requested to attend the above meeting.
The Agenda is attached

Claire Porter
Corporate Head of Legal and Democratic Services (Monitoring Officer)

Members of Audit Committee

Tim Barker (Chairman)	Pamela Moseley
John Cadwallader (Vice Chairman)	David Turner
Chris Mellings	

Your Committee Officer is:

Michelle Dulson Committee Officer
Tel: 01743 257719
Email: michelle.dulson@shropshire.gov.uk

AGENDA

1 Apologies for Absence / Notification of Substitutes

2 Disclosable Pecuniary Interests

Members are reminded that they must not participate in the discussion or voting on any matter in which they have a Disclosable Pecuniary Interest and should leave the room prior to the commencement of the debate.

3 Minutes of the previous meeting held on the 24 November 2016 (Pages 1 - 10)

The Minutes of the meeting held on the 24 November 2016 are attached for confirmation marked 3.

Contact Michelle Dulson (01743) 257719

4 Public Questions

To receive any questions from the public, notice of which has been given in accordance with Procedure Rule 14.

5 Management Report: Strategic Risks Update (Pages 11 - 14)

The report of the Risk and Insurance Manager is attached, marked 5.

Contact Angela Beechey (01743) 252073

6 Internal Audit Report of the Review of Risk Management Audit 2016/17 (Pages 15 - 18)

The report of the Principal Auditor is attached, marked 6.

Contact: Peter Chadderton (01743) 257737

7 Draft Audit Committee Annual Work Plan and Future Training Requirements (Pages 19 - 46)

The report of the Head of Audit is attached marked 7.

Contact: Ceri Pilawski (01743) 257739

8 Annual Review of Counter Fraud, Bribery and Anti Corruption Strategy (Pages 47 - 72)

The report of the Head of Audit is attached marked 8.

Contact: Ceri Pilawski (01743) 257739

9 Management Report: Treasury Strategy 2016/17 (Pages 73 - 118)

The report of the Head of Finance, Governance and Assurance (Section 151 Officer) is attached, marked 9.

Contact: James Walton (01743) 255011

10 Changes to Approvals Process for Statement of Accounts (Pages 119 - 122)

The report of the Head of Finance, Governance and Assurance (Section 151 Officer) is attached, marked 10.

Contact: James Walton (01743) 255011

11 Internal Audit Performance Report and Revised Annual Audit Plan 2016/17 (Pages 123 - 136)

The report of the Head of Audit is attached marked 11.

Contact: Ceri Pilawski (01743) 257739

12 Internal Audit Quality Assurance Improvement Programme (Pages 137 - 160)

The report of the Head of Audit is attached marked 12.

Contact: Ceri Pilawski (01743) 257739

13 Draft Internal Audit Risk Based Plan 2017/18 (Pages 161 - 174)

The report of the Head of Audit is attached marked 13.

Contact: Ceri Pilawski (01743) 257739

14 External Audit: Certification summary report (Pages 175 - 182)

The report of the Engagement Lead is attached, marked 14.

Contact: Mark Stocks (0121) 232 5356

15 External Audit: Audit Plan (Pages 183 - 202)

The report of the Engagement Lead is attached, marked 15.

Contact: Mark Stocks (0121) 232 5356

16 External Audit: Informing the risk assessment (Pages 203 - 222)

The report of the Engagement Lead is attached, marked 16.

Contact: Mark Stocks (0121) 232 5356

17 External Audit: Audit Committee Update (Pages 223 - 244)

The report of the Engagement Lead is attached, marked 17.

Contact: Mark Stocks (0121) 232 5356

18 Date and Time of Next Meeting

The next meeting of the Audit Committee will be held on the 29 June 2017 at 9.30 am in the Shrewsbury Room.

19 Exclusion of Press and Public

To RESOLVE that in accordance with the provision of Schedule 12A of the Local Government Act 1972, Section 5 of the Local Authorities (Executive Arrangements)(Meetings and Access to Information)(England) Regulations and Paragraphs 2, 3 and 7 of the Council's Access to Information Rules, the public and press be excluded during consideration of the following items.

20 Exempt minutes of the previous meeting held on the 24 November 2016
(Pages 245 - 246)

The exempt minutes of the meeting held on the 24 November 2016 are attached for confirmation, marked 20.

Contact Michelle Dulson (01743) 257719

21 Internal Audit: Fraud, Investigations and RIPA Update (Exempted by Categories 2, 3 and 7) (Pages 247 - 250)

The report of the Principal Auditor is attached, marked 21.

Contact: Peter Chadderton (01743) 257737